



ALTERNATIVE TO PTO/SB/08a/b (07-05)

|   |   |    |                          |                  |
|---|---|----|--------------------------|------------------|
| Substitute for form 1449/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    | <b>Complete If Known</b> |                  |
|   |   |    | Application Number       | 10/775,554       |
|   |   |    | Filing Date              | February 9, 2004 |
|   |   |    | First Named Inventor     | Meng YANG        |
|   |   |    | Art Unit                 | 1633             |
|   |   |    | Examiner Name            | A. Wehbe         |
|   |   |    | Attorney Docket Number   | 312762004400     |
| Sheet   | 1 | of | 1                        |                  |

| U.S. PATENT DOCUMENTS |                          |  |                                |  |   |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                       |                          |  |                                |  |   |

| FOREIGN PATENT DOCUMENTS |                          |   |                                   |  |   |                |
|--------------------------|--------------------------|---|-----------------------------------|--|---|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>2</sup> |
|                          |                          | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                   |  |   |                |
| AW                       | 1.                       | WO-02/28188   | 04/2002                           |  |   |                |

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                          |   |  |                |
|---------------------------------|--------------------------|---|--|----------------|
| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  | T <sup>2</sup> |
|                                 |                          |   |  |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

|                       |                                 |                    |            |
|-----------------------|---------------------------------|--------------------|------------|
| Examiner<br>Signature | /Anne Marie Wehbe/ (01/08/2007) | Date<br>Considered | 01/08/2007 |
|-----------------------|---------------------------------|--------------------|------------|

sd- 331459



ALTERNATIVE TO PTO/SB/08a/b (07-05)

|  |   |    |   |                          |                  |
|--|---|----|---|--------------------------|------------------|
| <b>Substitute for form 1449/PTO</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | <b>Complete if Known</b> |                  |
|  |   |    |   | Application Number       | 10/775,554       |
|  |   |    |   | Filing Date              | February 9, 2004 |
|  |   |    |   | First Named Inventor     | Meng YANG        |
|  |   |    |   | Art Unit                 | 1633             |
|  |   |    |   | Examiner Name            | A. Wehbe         |
| Sheet  | 1 | of | 1 | Attorney Docket Number   | 312762004400     |

| U.S. PATENT DOCUMENTS |                          |  |                                |  |   |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
| AW<br>V               | 1.                       | US-2002/026649                           | 02/2002                        | Tan et al.   |   |
|                       | 2.                       | US-2003/088883                           | 05/2003                        | Breindl et al.                                     |   |

| FOREIGN PATENT DOCUMENTS |                       |                           |  |                                |   |   |                |
|--------------------------|-----------------------|---------------------------|--|--------------------------------|---|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   |  | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> | Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |   |   |                |
|                          |                       |                           |  |                                |   |   |                |

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                       |   |  |                |
|---------------------------------|-----------------------|---|--|----------------|
| Examiner Initials <sup>2</sup>  | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  | T <sup>2</sup> |
| AW                              | 3.                    | HOFFMAN, Cell Death and Differentiation (2002) 9(8):786-789   |  |                |
| V                               | 4.                    | HOFFMAN, Lancet Oncology (2002) 3(9):546-556  |  |                |
|                                 | 5.                    | Supplementary European Search Report for EP 04709430.5, mailed on 9 June 2006, 3 pages  |  |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

|                       |                                 |                    |            |
|-----------------------|---------------------------------|--------------------|------------|
| Examiner<br>Signature | /Anne Marie Wehbe/ (01/08/2007) | Date<br>Considered | 01/08/2007 |
|-----------------------|---------------------------------|--------------------|------------|

sd- 324665



PTO/SB/08 (2-92)

Sheet 1 of 1

|  |                                     |                                |
|--|-------------------------------------|--------------------------------|
| <b>Form PTO-1449</b><br><b>INFORMATION DISCLOSURE CITATION</b><br><b>IN AN APPLICATION</b><br><i>(Use several sheets if necessary)</i> | Docket Number: 312762004400         | Application Number: 10/775,554 |
|  | Applicant:<br><br>Meng YANG et al.  |                                |
|  | Filing Date: February 9, 2004       | Group Art Unit: 1632           |
|  | Mailing Date August <u>4</u> , 2004 |                                |

**U.S. PATENT DOCUMENTS**

| Examiner Initials | Ref. No. | Date | Document No. | Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|----------|------|--------------|------|-------|----------|----------------------------|
|                   |          |      |              |      |       |          |                            |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials | Ref. No. | Date | Document No. | Country | Class | Subclass | Translation YES NO |
|-------------------|----------|------|--------------|---------|-------|----------|--------------------|
|                   |          |      |              |         |       |          |                    |

**OTHER DOCUMENTS***(including author, title, Date, Pertinent Pages, Etc.)*

| Examiner Initials | Ref. No. | Title   |
|-------------------|----------|---|
| AW                | 1.       | Amsterdam, A., et al., Dev. Biol. (1995) 171:123-129                                      |
|                   | 2.       | Chalfie, M., et al., Science (1994) 263:802-805   |
|                   | 3.       | Delagrave, S., et al., BioTechnology (1995) 13:151-154                                    |
|                   | 4.       | Heim, R., et al., Proc. Natl. Acad. Sci. USA (1994) 91:12501-12504                        |
|                   | 5.       | Hu, W., FEBS Lett. (1995) 369:331-334   |
|                   | 6.       | Ikawa, M., FEBS Lett. (1995) 375:125-128  |
|                   | 7.       | Ikawa, M., Dev. Growth Diff. (1995) 37:455-459  |
|                   | 8.       | Niwa, H., Gene (1991) 108:193-199   |
|                   | 9.       | Okabe, et al., FEBS Lett. (1997) 407:313-319  |
|                   | 10.      | Peters, K.G., et al., Dev. Biol. (1995) 171:252-257                                       |
|                   | 11.      | Sheen, J., et al., Plant J. (1995) 8:777-784  |
|                   | 12.      | Wang, S., et al., Nature (1994) 369:400-403   |
|                   | 13.      | Yang, M., et al., Proc. Natl. Acad. Sci. USA (2003) 100:14259-14262 (November 25th issue) |

EXAMINER: /Anne Marie Wehbe/ (01/08/2007)

DATE CONSIDERED: 01/08/2007

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



ALTERNATIVE TO PTO/SB/08a/b (06-03)

|  |   |    |   |                          |                  |
|--|---|----|---|--------------------------|------------------|
| <b>Substitute for form 1449/PTO</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | <b>Complete if Known</b> |                  |
|  |   |    |   | Application Number       | 10/775,554       |
|  |   |    |   | Filing Date              | February 9, 2004 |
|  |   |    |   | First Named Inventor     | Meng YANG        |
|  |   |    |   | Art Unit                 | 1632             |
|  |   |    |   | Examiner Name            | Not Yet Assigned |
| Sheet  | 1 | of | 1 | Attorney Docket Number   | 312762004400     |

| U.S. PATENT DOCUMENTS |                       |   |                                |  |   |
|-----------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number<br>Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
| AW                    | 1.                    | US-6,251,384  | 06/2001                        | Tan et al.   |   |
|                       | 2.                    | US-6,759,038  | 07/2004                        | Tan et al.   |   |

| FOREIGN PATENT DOCUMENTS |                       |   |                  |   |   |                |
|--------------------------|-----------------------|---|------------------|---|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       |   |   |                |
|                          |                       |   |                  |   |   |                |

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| AW                              | 3.                    | International Search Report for PCT/US04/03636, mailed on 5 January 2005, 3 pages   |                |
|                                 | 4.                    | Yang et al., PNAS (2002) 99(6):3824-3829  |                |

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

|                    |                                 |                 |            |
|--------------------|---------------------------------|-----------------|------------|
| Examiner Signature | /Anne Marie Wehbe/ (01/08/2007) | Date Considered | 01/08/2007 |
|--------------------|---------------------------------|-----------------|------------|

sd-246226